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**Date** February 19, 2002 **Number of pages (including cover):** 10  
**To** Commissioner for Patents, United States Patent and Trademark Office  
Technology Center 2800, Customer Service Center  
**Applicant** C01104/70089  
**Serial No:** 10/040,292  
**Filing Date:** October 25, 2001  
**Title:** LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS  
**Fax Number:** 1-703-872-9317  
**Number of Pages (including Cover Sheet):** 10

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I hereby certify that a Transmittal Letter and a Preliminary Amendment in the above-referenced application (total of 10 pages including cover sheet) are being facsimile transmitted to the Commissioner for Patents at the United States Patent and Trademark Office on the date shown below.

Joseph Teja, Jr.

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Date: February 19, 2002

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ATTORNEY'S DOCKET NO: C01104/70089**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: C01104/70089  
Serial No: 10/040,292  
Filed: October 25, 2001  
For: LIGHT SOURCES FOR ILLUMINATION OF LIQUIDS  
  
Examiner: Not yet assigned  
Art Unit: 2821

**CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, Customer Service Center, fax number 1-703-872-9317, on the 19th day of February, 2002.

  
Joseph Teja, Jr.

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith is/are the following document(s):

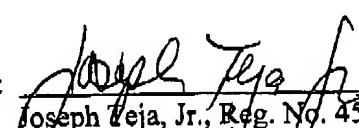
- ☒ Preliminary Amendment  
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If the enclosed papers are considered incomplete, the Technology Center to which the enclosures are directed is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed to be necessary. If, however, a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825.

Respectfully submitted,

By:

  
Joseph Teja, Jr., Reg. No. 45,157  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500

Docket No. C01104/70089  
Dated: February 19, 2002  
X (NDD)

ATTORNEY'S DOCKET NO: C01104/70089

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Joseph Teja, Jr.

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please enter the following amendments in the above-identified application:

IN THE SPECIFICATION

Please replace the paragraphs beginning on line 3 of page 1 with the following paragraphs as indicated below. A marked-up version of these paragraphs with all changes made by the current amendment shown using bracketing and underlining is attached hereto as the pages captioned "Version With Markings to Show Changes Made".

-- Cross References to Related Applications

This application claims the benefit under 35 U.S.C. §119(e) of the following U.S. provisional applications: